

REPORT TO	DATE OF MEETING
Governance Committee	14 th June 2010

Report template revised June 2008



SUBJECT	PORTFOLIO	AUTHOR	ITEM
Corporate Risk Register 2009/10 - Year End Progress Report	Finance and Resources	G Barclay & A Armstrong	7

SUMMARY & LINK TO CORPORATE PRIORITIES

The aim of this report is to inform members of the steps taken by management to implement a range of projects and actions contained in the Corporate Plan and Service Plans which sought to address the key risks and opportunities in the Corporate Risk Register (CRR) for 2009/10.

Members have already received a report at the January meeting which summarised the progress made at the mid-point of the last financial year. This report summarises the year-end position as at the end of March 2010.

Sound risk management arrangements are a cornerstone of good corporate governance and as such have an impact on the achievement of all the Council's key objectives but are primarily concerned with making South Ribble an efficient, effective & exceptional council.

RECOMMENDATIONS

That members note the action taken to address the key strategic risks during 2009/10 by reference to the year end monitoring statement shown in the attached Appendix.

DETAILS AND REASONING

The CRR is the most important component of the Council's Risk Management Framework and a key element of the overall Performance Framework. It is the vehicle by which the Council aims to identify and address any potential risks to the achievement of its strategic objectives and goals. It complements the Corporate Plan and assists in managing its ongoing delivery.

The attached Appendix provides a monitoring statement of the steps taken by management to implement a range of projects and actions shown in the Corporate Plan that mitigate the related risks & opportunities in the CRR for 2010/11.

The monitoring statement contains the following information:

- (1) **Key Project / Action** – a list of all the key corporate projects and actions relevant to each corporate risk.
- (2) **Project level rating** – a traffic light risk rating based on the performance monitoring system indicating progress against each project / action at year end
- (3) **Status** – an indication of whether the project / action is fully achieved or whether further action is ongoing

Members will note that the majority of the projects and actions have a green rating indicating that sufficient progress has been made to implement them.

The remainder have an amber rating indicating that a significant amount of action is still required on those projects. These have been carried forward to the corporate plan, service plans and CRR for 2010/11. This is because those projects are strategic in nature and have an implementation timescale which extends beyond the last financial year.

There are no red rated projects & actions.

Our assessment is largely based on the information taken from the Council's Performance Management System TEN. However we have supplemented this with further spot checks on some actions in response to the request from members to test the robustness of the information input to the TEN system.

The most frequently occurring actions (5/6/16) were therefore selected for closer examination. A discussion took place with the relevant Service head and they were asked to explain the reasoning for the rating applied and the criteria used. For each item reviewed it was concluded that the green rating given was sound and based on an objective assessment of the available evidence. It was not considered necessary to request a change in rating.

Future Enhancements to Reporting Arrangements

The Policy Team has completed an evaluation of the arrangements for the collection and reporting of performance information and changes are planned to take effect from the start of the second quarter in 2010/11. Specific details are still being developed but will include the replacement of the TEN computer system and more precise phrasing of the Key Corporate Actions. Clearer goals and targets will also be developed to underpin each Key Corporate Action to enable more accurate and evidence based assessment of ratings.

The proposed changes will impact on the monitoring and assessment of the key corporate risks by providing more accurate ratings to improve the quality of risk monitoring reports. We will liaise closely with the Policy Team to ensure the development of effective procedures.

IMPLICATIONS OF THE REPORT

The Governance Committee's terms of reference require it to oversee all aspects of governance including risk management. This report gives members the assurance that the Council's strategic risk management arrangements are effective and its strategic risks are actively managed.

The matters raised in the report are cross cutting and impact upon individual services and the Council as a whole.

WIDER IMPLICATIONS

In the preparation of this report, consideration has been given to the impact of its proposals in all the areas listed below.

FINANCIAL	There are no financial implications arising directly from this report.
LEGAL	None directly. These will be considered by each risk owner.
RISK	None directly. However the identification of these Corporate risks means that their risk owners can put in place actions to mitigate the risks, therefore reducing risk to the Council.

OTHER (see below)			
<i>Asset Management</i>	<i>Corporate Plans and Policies</i>	<i>Crime and Disorder</i>	<i>Efficiency Savings/Value for Money</i>
<i>Equality, Diversity and Community Cohesion</i>	<i>Freedom of Information/ Data Protection</i>	<i>Health and Safety</i>	<i>Health Inequalities</i>
<i>Human Rights Act 1998</i>	<i>Implementing Electronic Government</i>	<i>Staffing, Training and Development</i>	<i>Sustainability</i>

BACKGROUND DOCUMENTS

Draft Corporate Plan 2009/11
 Corporate Risk Register 2009/10

Appendix
Key Corporate Risks & Opportunities 2009/10
Year End Monitoring Statement

Corporate Priority	Risks/Opportunities	New or Carried Forward	Risk Score	Risk Owner	Key Project/Action (1)		Project Level Rating (2)	Status (3)
All Corporate Priorities	CR/2009/01 Minimise the effect of the economic recession and the housing market downturn	New	12	CD (EC) HP&H	6	Deliver an integrated approach to regional and Central Lancashire activities and plans	AMBER	Ongoing
					8	Work in partnership to maintain confidence and support people through the impact of the economic downturn	AMBER	Ongoing
Efficient, effective and Exceptional Council	CR/2009/02 Deliver the C-Smart program to transform customer service, maximise efficiency, address the budget shortfall & maintain employee morale.	New (combined reworded)	12	CD (R) HP&CE	14	Deliver the C-Smart programme to transform services to deliver value for money and exceptional customer service	AMBER	Ongoing
					17	Ensure effective collaborative/partnership working with neighbouring districts and the County Council	AMBER	Ongoing
	CR/2009/03 Develop the joint building project with Lancashire County Council.	New	12	CD (R)	NA	This project did not materialise and has therefore been excluded from the Corporate Plan for 2010/11	N/A	Withdrawn
All Corporate Priorities	CR/2009/04 Deliver regeneration of Leyland and the South Ribble area.	c/f	12	HR&HC	2	Review development plans for major parks and open spaces in a joined-up way, including securing external funding	AMBER	Ongoing
					6	Deliver an integrated approach to regional and Central Lancashire activities and plans	AMBER	Ongoing
					7	Identify local transport issues, including car parking, and implement actions to maximise sustainable transport and reduce traffic congestion in the area, in conjunction with LCC and other partners	AMBER	Ongoing
					8	Work in partnership to maintain confidence and support people through the impact of the economic downturn	AMBER	Ongoing
					9	Take the lead on delivering regeneration in Leyland and South Ribble, including securing funding, in conjunction with partners	AMBER	Ongoing

Corporate Priority	Risks/Opportunities	New or Carried Forward	Risk Score	Risk Owner	Key Project/Action (1)	Project Level Rating (2)	Status (3)	
Strong & Healthy Communities	CR/2009/05 Explore partnership opportunities to address health inequalities.	c/f	8	HR&HC	10	Contribute to the effective delivery of the Children's Trust Action Plan	GREEN	Actioned
					11	Contribute to the effective delivery of the Older People's Partnership Action Plan	GREEN	Actioned
					12	Strengthen our approach to community leadership / engagement and service delivery, with partners, to deliver a successful 'place shaping' agenda	GREEN	Actioned
					13	Deliver health improvement programmes to address health inequalities and narrow the gap	GREEN	Actioned
					15	Use all available information to develop borough and neighbourhood profiles to improve service delivery	GREEN	Actioned
Strong South Ribble in the heart of a prosperous Central Lancashire	CR/2009/06 Strive to maintain excellent external inspection results by delivering meaningful outcomes from key partnerships.	New (combined)	8	HP&CE	4	Achieve strategic objectives for the waste service	AMBER	Ongoing
					5	Via the CDRP, deliver the Community Safety Strategy / Action Plan	AMBER	Ongoing
					6	Deliver an integrated approach to regional and Central Lancashire activities and plans	AMBER	Ongoing
					7	Identify local transport issues, including car parking, and implement actions to maximise sustainable transport and reduce traffic congestion in the area, in conjunction with LCC and other partners	AMBER	Ongoing
					9	Take the lead on delivering regeneration in Leyland and South Ribble, including securing funding, in conjunction with partners	AMBER	Ongoing
					10	Contribute to the effective delivery of the Children's Trust Action Plan	AMBER	Ongoing
					11	Contribute to the effective delivery of the Older People's Partnership Action Plan	AMBER	Ongoing

Corporate Priority	Risks/Opportunities	New or Carried Forward	Risk Score	Risk Owner	Key Project/Action (1)	Project Level Rating (2)	Status (3)	
					12	Strengthen our approach to community leadership / engagement and service delivery, with partners, to deliver a successful 'place shaping' agenda	AMBER	Ongoing
					14	Deliver the C-Smart programme to transform services to deliver value for money and exceptional customer service	AMBER	Ongoing
					15	Use all available information to develop borough and neighbourhood profiles to improve service delivery	AMBER	Ongoing
					16	Deliver the Environment Pilot and Locality Plan with LCC	AMBER	Ongoing
					17	Ensure effective collaborative / partnership working with neighbouring districts and the County Council	AMBER	Ongoing
					18	Deliver the updated 'Our People' Action Plan	AMBER	Ongoing
Strong South Ribble in the heart of a prosperous Central Lancashire	CR/2009/07 Optimise the sub-regional agenda	c/f	8	HP&H	2	Review development plans for major parks and open spaces in a joined-up way, including securing external funding	AMBER	Ongoing
					5	Via the CDRP, deliver the Community Safety Strategy / Action Plan	AMBER	Ongoing
					6	Deliver an integrated approach to regional and Central Lancashire activities and plans	AMBER	Ongoing
					7	Identify local transport issues, including car parking, and implement actions to maximise sustainable transport and reduce traffic congestion in the area, in conjunction with LCC and other partners	AMBER	Ongoing
					9	Take the lead on delivering regeneration in Leyland and South Ribble, including securing funding, in conjunction with partners	AMBER	Ongoing

Corporate Priority	Risks/Opportunities	New or Carried Forward	Risk Score	Risk Owner	Key Project/Action (1)	Project Level Rating (2)	Status (3)	
					16	Deliver the Environment Pilot and Locality Plan with LCC	AMBER	Ongoing
Strong & Healthy Communities	CR/2009/08 Enhance community engagement & leadership including the role of members	c/f (re-worded)	9	HCG HP&CE	5	Via the CDRP, deliver the Community Safety Strategy / Action Plan	GREEN	Actioned
					8	Work in partnership to maintain confidence and support people through the impact of the economic downturn	GREEN	Actioned
					9	Take the lead on delivering regeneration in Leyland and South Ribble, including securing funding, in conjunction with partners	GREEN	Actioned
					11	Contribute to the effective delivery of the Older People's Partnership Action Plan	GREEN	Actioned
					12	Strengthen our approach to community leadership / engagement and service delivery, with partners, to deliver a successful 'place shaping' agenda	GREEN	Actioned
					13	Deliver health improvement programmes to address health inequalities and narrow the gap	GREEN	Actioned
					15	Use all available information to develop borough and neighbourhood profiles to improve service delivery	GREEN	Actioned
					16	Deliver the Environment Pilot and Locality Plan with LCC	GREEN	Actioned
All Corporate Priorities	CR/2009/09 Maximise the potential for collaborative working with neighbouring authorities.	c/f	4	CD (P&N)	5	Via the CDRP, deliver the Community Safety Strategy / Action Plan	AMBER	Ongoing
					6	Deliver an integrated approach to regional and Central Lancashire activities and plans	AMBER	Ongoing
					7	Identify local transport issues, including car parking, and implement actions to maximise sustainable transport and reduce traffic congestion in the area, in conjunction with LCC and other partners	AMBER	Ongoing

Corporate Priority	Risks/Opportunities	New or Carried Forward	Risk Score	Risk Owner	Key Project/Action (1)	Project Level Rating (2)	Status (3)
					16 Deliver the Environment Pilot and Locality Plan with LCC	AMBER	Ongoing
					17 Ensure effective collaborative / partnership working with neighbouring districts and the County Council	AMBER	Ongoing
Clean, Green	CR/2009/10 Failure to exploit opportunities to deliver Sustainability/Climate Change.	c/f	4	HP&CE	3 Deliver the Council's Sustainability and Climate Change Action Plan	GREEN	Actioned
					6 Deliver an integrated approach to regional and Central Lancashire activities and plans	GREEN	Actioned
					7 Identify local transport issues, including car parking, and implement actions to maximise sustainable transport and reduce traffic congestion in the area, in conjunction with LCC and other partners	GREEN	Actioned
					16 Deliver the Environment Pilot and Locality Plan with LCC	GREEN	Actioned

Project Level Risk Ratings

- Green** = progress being made; performance on track
- Amber** = some progress made; performance limited
- Red** = little or no progress made; performance needs to improve